TESTIMONIAL BUNDLE

Dr Richard Kaul

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COLLEGE OF MEDICINE OF TRASTA DOVIDENTY

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February 1, 2001

Im S. P. Burker Solicitor MDU Services Limited 230 Blackfrians Road London, SE1 8PJ, ENGLAND

By fax to 011-44-20 7202 1663

RE: Richard Kaul, M.D. Ref ISBP/ap/9902700/Legal

Dear Mr Barker.

Regarding your request for a testimonial letter on behalf of Dr. Richard Kaul, I am happy to provide the following information. I met Dr. Kaul in July 1994 when I joined the Department of Anesthesiology at Albert Einstein College of Medicine as Department Chairman. Dr. Kaul had already successfully completed two years of a three-year anesthesiology residency training program in our department by that time. Consequently, my knowledge of his competency comes from one year of first hand information of his last year of training and available written evaluation from his prior two years.

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In general Dr. Kaul was considered to be an average to above average resident through out his training. Although we do not specifically rank residents, I would say that Dr. Kaul was in the upper half of the middle third of his cohorts. His residency group consisted of approximately 30 doctors. I know that he did particularly well in pain management and obstetrical anesthesiology. Both of these rotations need effective interpersonal relationships for success in addition to knowledge, good medical judgment and technical skills. Upon completing his training Dr. Kaul was considered to be a competent anosthesiologist demonstrating the necessary professional characteristics and clinical skills as judged by our faculty to be eligible to sit for the American Board of Anesthesiology. Accredited anesthesiology residency programs are required to evaluate clinical competency and professional characteristics every 6 months. To obtain credit for that 6-month training period the report must be completely satisfactory for that period. A special faculty committee called the Clinical Competency Committee carries out this evaluation. All of Dr. Kaul's clinical competency evaluations were satisfactory. It is upgo this recommendation that a candidate is permitted to sit for the two part Board examination process. Certification as a Board Certified Anesthesiologist would be considered by many to be the equivalent of a Consultant in the UK. Board certification in the US carries with it a similar recognition of achievement and competency. Dr. Kaul successfully completed the Board Certification process attesting to his competency and professionalism.

Maling Address Moncebora Medical Centar 111 East 210th Street Broto, New York 10457-3490 On a personal level, I know Dr. Kaul to be a very intelligent and considerate individual who while here at Albert Einstein College of Medicine demonstrated a level of skill and knowledge appropriate for his training. By the end of the last year of his residency training, I felt that he had grown professionally and demonstrated good medical judgment and knowledge. It was my impression that he would successfully complete the process of Board Cartification and that he would be a safe and competent practitioner of the medical specialty of anosthesiology.

Since completing his training, Dr. Kaul has remained in contact with me. I have offered what support I could during his unsuccessful effort to demonstrate equivalency between his training here in the United States and that in the United Kingdom. Unfortunately, I am unable to comment on Dr. Kaul's practice after he returned to London. However, based upon my knowledge of his capabilities, his personal characteristics, and his prior performance I would expect him to have continued the high level of professionalism and practice standards to which he was held here.

Sincerely, aubormann, M.D.

Francis F. Foldes Professor and Chairman

AJS:mr

2/5/200

Flat B 29 Howitt Rd Belsize Park London NW3 4LT

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Dear Mr Barker

Thank you, for your letter dated 19th August 2000 regarding Dr Richard Kaul. I was extremely upset to hear of his manslaughter charge, as my experience with Dr Kaul was a very positive one. I first met him in July 1999, when he was the anaesthetist who looked after me for an operation I had at the Highgate Private Hospital.

In a pre-operative visit he instilled great confidence in me and made me feel relaxed and safe. He explained in thorough detail every aspect of the anaesthetic care and answered all my questions. The operation went well and I saw Dr Kaul a couple of hours after I had woken up, he came to check that everything was okay and that I wasn't experiencing any pain or nausea.

His whole manner from start to finish was professional and caring and I find it hard to believe how someone with his skills and expertise would in anyway behave negligently. A few weeks after my discharge Dr Kaul telephoned to check on my welfare, I was doing fine and thanked him for his care.

I can't speak too highly of my experience with Dr Kaul and would gladly attend court if necessary.

Yours sincerely

Anthony Sutton

36 Belsice Grove, Hampslead, London NW3 4TR

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Jel: 071-722 4261

26 th April 2000,

Hempsons Solicitors 33 Herrielta Street Covert Garder London WELL SWH. Your Ref: 1009 27 15420. Dear Hr Barker, I received your letter regarding Dr Richard Ka I received treatment from him at the Highgate Hospital in April last year where he was my anaethatist for having my windom kell removed. I have absolutely no compaints about my treatment, I was made to feel very confortable and suffered no after effects - is no swelling. Although I only net Dr Kail on the one occasion before my operation, I was impressed by the caring manner he expressed when I tole him that I was incredibly nervous. I hope that I may have provided some assistance, infortunately i will not be able to allerd the tourt hearing as I am a student i

Birningham, and have exams after the 10th Ha Yours sincerely, Tanya Chappell 4

GEO2SE PARDALE 26, 155 ROAD NORTHURY TENEDBAR Scol. SLZP 8QF. TEL: 0.1684-294658 the 25 th the 16th of April 2000 - To any to - " Dear Te Barber To any joing to hear about the preliment that D= P. T. finds thisely. A 1: mall Mitrop Jat the Highgote - band through Mr. Anthon Printe Hargited. Mr. Mitref, an excellent for freise tal agented and me in 1376 enlargent of the I take maturally been a patient the little by started laring able jits he can't raily though car infection the apparent too forgetly for my fiting, I know that to the too tak glittle boy, handrad, to I re in 1 To Miles sugested that quity "spected in the case which shall the poblem The case which shall the poblem The case which shall the poblem The case which and we arranged for the lace the operation with a rantice spection Jen to love

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In fact, I well to pred to be Do tal a Lack - the sam after they happy link to - y ted that is just a much because of the analyth in his bely nother than printing the apention I theplat that was here A - of the I man I have for center Mr. Mitrag well be wind to see it. Lat you were capet the anoverthe to trans Angung all went well and infortunately we needed the services were not of Dr Kent became one of the gramity til at pop at as we were agrined by the dition but it wall, to they had to pt lander slerg again in order to remove A Labor to deep of he as as projectional the second Laber fell confetethe inthe fingent to lid a One fand a picture of someone by the way they conduct themselved and by the feelings me gets. I that there bind realings I took Dr kand to be a very appricable realing the for his metric. In well it late to very herene I can't really know his BA of a Doctor le seemel file. I men take Mr. Mitras. He specified an -e to send upt I louit even know where he caned for the practically there except that I trut for inplicitly al I feel goed with him the I am in his presence, especially and projection and the revolute of Dr Com I suppose, being trice used the revolved of Dr Com

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WITNESS STATEMENT

STATEMENT OF

SYLVIA MOORE

AGE: OVER 18

This Statement consisting of 2 pages signed by me, is true to the best of my knowledge and belief and I make it knowing that if it is tendered in evidence I shall be liable to prosecution if I have wilfully stated in it anything which I know to be false or do not believe to be true.

9 day of May 2000 DATED this

Signed

SYLVIA MOORE

I am SYLVIA MOORE of 17 Union Street, Londonderry, Northern Ireland. In April of last year I underwent an operation in London, being liposuction. The doctor who conducted anaesthesia for the purposes of that operation was Dr Richard Kaul I met Dr Kaul on several occasions prior to the operation, and he spent a lot of time with me explaining what was involved, and ensuring that I felt comfortable before deciding to proceed.

Following the operation, Dr Kaul came to see me to review my condition on several occasions, and I was most impressed with his care. Indeed, other patients at the hospital commented that they too were most impressed with him.

Witnessed 12-08 Cher

STATEMENT OF SYLVIA MOORE

AGE: OVER 18

From my experience in consulting Dr Kaul, I can say he is a most kind and caring person and very considerate.

As an example of Dr Kaul's caring approach, I recall that after the operation that Dr Kaul was concerned to review me, and it was necessary for him to telephone me to revise the time of my appointment. He mentioned to me that his uncle, who was to him a father figure, sadly had just passed away. I was concerned as to suggest to him that it would not matter if I did not see him in the circumstances, but he was determined to see me to ensure that all was well.

I am aware that Dr Kaul is charged with gross negligence manslaughter, the charge against him arising out of his care of a patient. When I learned of the allegation I was shocked, and could not believe that the charge involved the same person who had cared for me. I plan to have another operation, and have resolved that if Dr Kaul is unavailable to conduct anaesthesia, I will wait until such time as I can be treated by him. My regard for him is such that I would have no hesitation in going back to him for treatment in spite of the allegation which has been made against him.

Signed AM

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Witnessed James 600

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WITNESS STATEMENT

STATEMENT OF

NIKKI KINGDON

AGE: OVER 18

This Statement consisting of 2 pages signed by me, is true to the best of my knowledge and belief and I make it knowing that if it is tendered in evidence I shall be liable to prosecution if I have wilfully stated in it anything which I know to be false or do not believe to be true.

DATED this 13th day of February 2001 Signed NIKKI KINGDO

I am NIKKI KINGDON of 339 Lonsdale Road, Barnes, London. On 26 April 1997 I had wisdom tooth removed. The doctor who conducted anaesthesia for the purposes of that operation was Dr Richard Kaul.

The operation was a tricky one that had to be done by a maxillo-facial surgeon. I had a general anaesthetic. Dr Kaul made me feel confident and at my ease. I was very upset when I arrived for my appointment as I had got the appointment time wrong and was an hour late. I was very upset about this and in tears but he was very kind and said that it was not a problem.

I can not remember anything of the operation as I just went out under the anaesthetic and I remember nothing until I woke up afterwards. I do remember that Dr Kaul came to see me after my operation to check how I was and to make sure I was OK. The general anaesthetic was brilliant for the operation I had and I had no problems at all.

In the same year, Dr Kaul came to work for my former husband who has a cosmetic surgery clinic in Harley Street. Some patients were treated at the Cosmetic Surgery Clinic under sedation and Dr Kaul carried this out. I was not present at any of the sedations. There were never any problems or complaints by the patients that they were sick or dizzy. Everything was straightforward.

Some of our patients have surgery in the hospital at Highgate for breast augmentations and such like and Dr Kaul carried out the general anaesthetic for those patients. Again there were never any problems or complaints in this respect.

I liked Dr Kaul although I did not know him very well. He has a pleasant manner and got on well with staff and patients alike.

Signed

Dated 13 February 200]

IZ

SU POLLARD

S9° RICHMOND AVENI LONDON, NI OLT. 13-4-00.

Dear Mr. Barker

I am writing to confirm that an June 10th, 190 I had an appointment at the Highgate Hospital, with Mr. Mitra, for a sinus draining operitation. Being a professional actress. It is essential that any infection which may hamper my ability to perform in any way. be treated as effectively as

On the day of the minor operation & was visit, gentleman in charge of my analothetic. He spent time altailing the whole procedure, what it entoiled experience post-operatively (i.e. a probable dry information). He accomponied this is what subsequ information). He accomponied this dialogue with a what walls be happening while & was under

Attracts & bad bad so experience of Mr. Kaul ether professionally or socially before My surgery I found him to be extremely informed and willing to answer any of My queries and questions in a straightforward and Bowledgedde Manner.

He make it his basiness to fully inform re before connencement of the operation, thereby alloying any anxiety of might have felt.

X.

I consider my surgery to have been wholly successful and have been extremely happy with My sinuses! For the period since My operation. 1 Found Dr. Kaul to have been, professional considerate and compassionate.

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Yours most sincerely,

SU Palaro - SU POWLARO.

To whom it may concern

My name is Caroline Ford, age 55 of 56 in ARGERY PARK Road Low 37 G 7I am writing this letter in support of Dr Kaul who has been charged with manslaughter in relation to a death under dental anaesthesia.

I first met Dr Kaul on 5th November 1996, I was scheduled to have a breast enlargement at the Highgate Private Hospital, London to be carried out by Dr Chantersak. On the day of surgery I was seen by Dr Kaul, who after having completed his pre-operative history, placed a series of monitors on me, which I believe were to keep an eye on my heart and breathing. He then placed a needle in my hand and gave me some drugs, I remember falling asleep. The next thing I remember is being woken up in the operating theatre with Dr Kaul and nursing staff reassuring rne. I was transferred to the Middlesex Hospital where I was told I had suffered a cardiac arrest whilst the surgeon was injecting local anaesthetic into my breast. Dr Kaul had responded immediately and successfully resuscitated me, thereby saving my life. Although the exact details of the event are a bit vague now I clearly remember being told that Dr Kaul had acted swiftly and effectively in restarting my heart. I spent three days at the Middlesex and was then discharged.

I owe my life to Dr Kaul and he regularly called me for a couple of months after the event to check on my welfare. One year after the arrest I telephoned him as it was his birthday to thank him for saving my life. My experience with this doctor has been very positive, he was caring, considerate and competent if it had not been for his rapid response I would probably not be here today. I would be more than happy to support him in whatever way I can, as I believe he is an extremely able and compassionate young doctor.

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Yours faithfully

G. Jard.

Caroline Ford

THE LONDON WELBECK HOSPITAL

27 Welbeck Street, London W1M 7PG

Telephone:0171-224-2242

Fax: 0171-224 2493



To Whom It May Concern

I write on behalf of Richard Kaul who I have known for two years. Dr Kaul initially came to work at the London Welbeck Hospital in our two large theatres as an anaesthetist.

We have guidelines, which we follow when we accept both surgeons and anaesthetists to work in our theatres and hospital. We obtain four professional references with evidence of registration membership to the General Medical Council. In addition to this all theatre staff are instructed to write a practice report on a new anaesthetist who takes a fulltime post as an anaesthetist.

I wish to give you my own professional background. I have been in the nursing profession for thirty years. My experience ranges from general nursing, theatres, midwifery and paediatrics. I also have experience in the education of nurses. I have also managed one hospital before coming to the London Welbeck Hospital as the director of nursing and the registered person in charge of the hospital. The registered person is responsible for all aspects of running their hospital and ensuring that all medical staff gives quality, equal and safe care. This means that the Health Authority expects my full and vigilant involvement of the care of all patients who are admitted and operated on at the London Welbeck Hospital. In short, I am responsible to my patients, the Health Authority and its acts and regulations and my employers.

It is now two years since we at the London Welbeck Hospital first met Dr Richard Kaul. My staff and myself right from the beginning found him a very able individual with a pleasant personality at all times. He is always polite and gentle in his approach and it is indeed a privilege to have such an honest and helpful team member. Dr Kaul's professional practice and approach to both his patients and colleagues, observed, over the period has always been the best. I can honestly state that we find him a conscientious doctor who is very caring and committed to his work. As the Welbeck Hospital has no accident and emergency department Dr Kaul would make sure that every patient anaesthetised by him is fully recovered and comfortable in their room before leaving his contact or on call room. He is the senior in house lecturer on the Cardiac Arrest training, recommended by the Health Authority, for all the clinical staff.

Personally I would be most pleased and would have a total confidence for Dr Kaul to anaesthetise me if I even was to have an operation.

Please contact me if you would like any more information.

Yours sincerely

A M Williams BA Hons, (London) F.ed SRN(RGN) DCM(RM)

WELBECK HEALTHCARE LIMITED REGISTERED IN ENGLAND NO. 3310139

Mr P H Ashby FRCS Cosmetic Plastic Surgeon

51 Harley Street London W1N 1DD

Tel: 0171 580 6449 Fax: 0171 580 6448

TO WHOM IT MAY CONCERN

Re: Dr. Richard Kaul.

My name is Peter Ashby. I am a plastic surgeon and I have been in full time private practice based in Harley St for thirteen years. My name is on the Specialist Register maintained by the GMC.

I have known Dr Richard Kaul since the autumn of 1997, initially in a professional capacity, when he joined a select group of anaesthetists whose professional skills I and my patients enjoy on a regular basis. With the passing of time that professional relationship has matured into a warm friendship which I greatly value.

On reviewing my records I can confirm that Dr Kaul has anaesthetised, approximately, 75 of my patients a year. Almost without exception these operations have been under a general anaesthetic as it is not my practice to operate using so called sedation, or twilight anaesthesia.

In running a private practice, I have the luxury – which is not afforded to my Health Service colleagues - of choosing my anaesthetist. Traditionally, the relationship between anaesthetist and surgeon can be adversarial but in my practice I am happy to say the relationship is one of co-operation with the wellbeing of the patient being paramount. I cannot fault either Dr Kaul's professional relationship with me as a surgeon or, more importantly, his professional skills as an anaesthetist.

Dr Kaul told me about the death of his patient shortly after it happened, and I witnessed his anguish and distress. We continued to work together until his right to practice as an anaesthetist was suspended by the GMC at the end of 1999, and I look forward to working with him again after his acquittal.

P. H. Ashby. 31 March 2000.

Harley Stre Dental Clin

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Mr I S P Barker Hempsons 33 Henrietta Street Covent Garden London WC2E 8NH

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26th March 2000

Dear Mr Barker

RICHARD KAUL Your Ref: 1009 27 15420

I have known Richard personally for nearly three years. I am the owner and principal of the Harley Street Dental Clinic which incorporates a designated operating theatre with anaesthetic and recovery facilities which is approved by the local health authority. The theatre is used extensively for surgical procedures and general anaesthetics (usually IV sedations).

Richard has worked at the surgery as an anaesthetist. He has worked for me personally, the three maxillofacial surgeons at the practice and with our restorative consultant. He also works with the opthalmic surgeon, using our laser equipment.

During the two and a half years Richard has worked at the surgery, no one has had cause for alarm, I have spoken to all the surgeons he has worked with, no has had any reason to complain, to the best of my knowledge all the patients have been totally satisfied with their treatment.

l have been happy with Richard's work at the surgery in the past, and would be more than happy for him to continue in the future.

Yours sincerely

STANLEY S KAY

S S KAY - THE HARLEY STREET DENTAL CLINIC, SUITE 6, 103 105 HARLEY STREET, LONDON WIN IND

Mr Naveed Hasan

BSc: MBBS; FRCS (Edin); DA (RCS & RCP) Lond Consultant • General Surgeon • Specialist in Vascular and Genito-Urinary Surgery

79 Harley Street London W:N 1DE Tel: 020 7224 5537 020 7224 5536 Fax: 020 7224 5539 Welbeck Hospital 27 Welbeck Street London W1M 7PG Tel: 020 7224 2242

Mobile: 0780 118 1632

22^{pd} March 2000

Your Ref: 1009 27 15420

Hempsons Solicitors 33 Henrietta Street Covent Garden London WC2E 8NH

Dear Mr Barker

Thank you for your letter dated 9th March 00. I apologise for the late delay in replying.

I have known Dr Richard Kaul as a colleague for the past 12 months. He has on numerous occasions anaesthetised my patients during my operations. I have known him in the capacity of professional as well as having social interactions with him on a few occasions.

I confirm that Dr Richard Kaul is a very pleasant gentleman as well as a competent anaesthetist, I also confirm that I have never heard any unpleasant comments regarding Dr Richard Kaul from any other colleagues of mine who work in hospital environments.

I shall be more than pleased to assist in any way, if and when required in the courts as a witness.

Yours sincerely

Dr N. Hasal



Mr Richard Downes

The Nottingham Nuffield Hospital 748 Mansfield Road Nottingham NG5 3FZ . Tel: 0115 9209209 Secretary/Fax: 01636 816390

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12th April 2000

Mr I S P Barker Hempsons Solicitors 33 Henrietta Street Covent Garden London WC2E 8NH

Dear Mr Barker

Re R-v-Dr Richard Kaul

May I start by apologising for not replying to you sconer with regard to character reference for Richard but I have just been in the throws of changing jobs.

Richard Kaul has worked with me as an anaesthetic colleague in the operating theatre of 102/104 Harley Street over the last two years. He has provided general anaesthetic or local anaesthetic plus sedation for me for patients on a regular basis requiring cosmetic/aesthetic surgery. I have always found him to be a kind and considerate doctor taking time to explain fully to the patients what the surgery entailed. In relation to this practice he always struck me as being a very competent and able anaesthetist and at no time did problems arise with any of the patients that he anaesthetised for me during this period of time. Because of change of practice I have not actually undertaken very much surgery over the last three to four months and this indeed is the only reason that I have not made any call on Richard's services. I would be more than happy to use him again as an anaesthetist and am of course very sorry to hear of the situation in which he now finds himself.

In summary my opinion of Richard Kaul is that he is a sound and competent anaesthetist and in my experience has never compromised patient care and I would be more than willing to use his services in the future as and when the situation dictates.

With kindest regards

Yours sincerely

Mr R N Downes B Sc FRCOphth FRCS DO Consultant Ophthalmic and Oculoplastic Surgeon

A B B E Y D E N T A L 20 North Street, Barking, Esser, IG118AW

Tel. 0181 594 4059

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E.Chand BDS LDS.RCS

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I. S. P. Barker Hempsons Solicitors 33 Henrietta Street Covent Garden London WC2E 8NH

3rd April 2000

Dear Sir/Madam,

RE R -v- DR RICHARD KAUL

I first met Richard when at the Royal Free Hospital in Hampstead in 1985 when he started his Medical Studies. I remember him as a popular person. I subsequently met him again in 1996 at my clinic at Whitechapel. He had been sent by an anaesthetic agency to provide his services to the patients I was treating that day.

Mr Kaul has worked providing anaesthetic services at my practices in Barking, Whitechapel and Walthamstow. He has worked with other dentists at these practices and I have personally worked with Dr Kaul on many occasions where I carried out the dental treatment and Dr Kaul was responsible for the anaesthesia.

I have worked with in excess of 40 different anaesthetists and have regarded Dr Kaul as one of the most competent by which I mean that procedures were generally very easy to carry out as the patients seemed relaxed if sedated and stable if under general anaesthetic.

A good anaesthetist will enable the dentist to carry out his work with the minimal of stress and interruption. Patients tended to have good recoveries following treatments.

I would definitely regard Dr Kaul as one of the best anaesthetists I have worked with and would choose to work with him again. He was always thorough calm and competent in my opinion.

It is unfortunate that he should find himself in this situation as being an anaesthetist can be a very stressful job at times. From my experience of Dr Kaul, I believe that when faced with a situation where a patient becomes medically distressed while under anaesthesia, Dr Kaul would have coped with it in a calm, authoritative and competent manner. I certainly do not believe that he would have been negligent in his duty of care to his patients such that an medical emergency could be precipitated.

Your faithfully,

THE COSMETIC SURGERY CLINIC

100 Harley Street London WIN 1AF Tel: 020 7486 5111 Fax: 020 7486 5771 Mobile: 07714 703007 E-mail: mail@cosmeticsurgeryclinic.co.uk Internet: www.cosmeticsurgeryclinic.co.uk

Mr I S P Barker Hempsons Solicitors 33 Henrietta Street Covent Garden London WC2E 8NH

10th March 2000

Dear Mr Barker,

Re: Dr Richard Kaul

I have known Richard Kaul for about 4 years. I met him in a professional capacity since he was an anaesthetist working in the same clinic that I was. I have found him to be a very nice man.

I have always been impressed with his ability as an anaesthetist. For this reason we have routinely used him at this clinic. He has a very good manner with patients in that he inspires confidence.

The various surgeons that have worked with me at this clinic have always expressed satisfaction with his performance as an anaesthetist.

My own particular experience is of Dr Kaul using LV sedation for me during Liposculpture procedures. I have always found him particularly skilful in this regard. The patients experience no pain and the impression has always been that he has conducted himself very carefully and with a routine that has always led to the smooth completion of the procedure.

Such is my confidence in his ability as an anaesthetist that when my wife required I.V sedation for a dental procedure I particularly requested Dr Kaul for this purpose.

I would find it very surprising if Dr Kaul was ever less than completely conscientious in administering an anaesthetic sedation.

Please let me know if I can be of any further help.

Yours sincerely,

Dr A J Kingdon.

43a

PETER R. JORDAN L.R.C.P., M.B.B.S., F.R.C.S.(Eng), Sp.Cert. Plastic Surgery CONSULTANT PLASTIC SURGEON

TESTIMONIAL

Laundon Hall, Threekingham, Sleaford, Lincolnshire. NG34 0AX Tel. (01529) 241060 Fax. (01529) 240951

Re:- Dr Richard Kaul

I am a surgeon specialising in Plastic and Cosmetic Surgery having been in practice for over thirty years. Dr Kaul has anaesthetised for me over the last five years and I have built up a friendship both socially and professionally during that time.

I have always found him to be a thoroughly caring and <u>skilled</u> practitioner. He has never stinted in his attention to patients in his care – making sure that pre and post-operative treatment was both thorough and effective.

His training and experience has been very wide both in the U.K. and America. He is a versatile doctor and very capable anaesthetist in whom I have had every confidence. I was indeed very surprised to hear about the charge brought against him and would find it very difficult to believe that it should arise from professional neglect or incompetence by him.

Should further information be required, I would be pleased to supply it.

Peter R Jordan MBBS FRCS (Eng)

PIETER D MALAN

135 HUNTER AVENUE SHENFIELD ESSEX CM15 8PG

Phone: 01277 222842 Fax: 01277 222842 Email: pmalan@writeme.com

4 April 2000

You Ref.: 1009 27 15420

Mr ISP Barker Hempsons Solicitors 33 Henrietta Street Covent Garden London WC2E 8NH

Re.: Dr Richard Kaul

I have had an interest in dental treatment under general anaesthetics since I graduated and have been working in various general anaesthetic clinics in the UK since December 1993. During this time I worked with more than thirty different anaesthetists.

I first worked with Dr Kaul in December 1996 when he was the anaesthetist performing general dental anaesthetics in a dental clinic. I subsequently worked with him again and worked as an associate at his clinic in Balls Pond Road, Dalston.

We worked together regularly until he found a regular associate to perform the general anaesthetics while he worked elsewhere. I found him to be a very professional anaesthetist. He was confident and competent and never compromised the safety of our patients.

When he took charge of the clinic, he not only upgraded the dental equipment and instruments, but also the general anaesthetic equipment with special reference to the safety equipment i.e.: a dedicated crash trolley and enlargement of the clinical area.

I only met him socially once, to discuss the possibility of me working in his clinic as an associate. He is a teetotaller and I got the impression that he is very serious about his profession.

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Yours sincerely

Pieter Malan

MR. Ien. Buker	
MDO Legal ServiceD.	
230 Blackfriers Rd	
hondon Sél 873.	

THE MDU	
19 DEC 2000	
LEGAL	

M.S.KARMANI 2. DAFFORNERd. HONDON SWITSTZ.

12-12-00.

Dear Mr. Baker,

re: Richard Kaul

I have been cooked by Richard Kaul to provide a latter of reference for his upcoming Guit Gove. I understand he has been charged with Manslanguter due to a potient of his howing a Gridiac arrest and Subsequently diging. I worked with Richard at the Kanton dertal anevertwetic Gutre from 25.1.97. to 20.5.97. In this period I have Seen lime Gray out hundreds of anevothetics Sufely and Competently without any Grupications. His technical Skills as an anaesthatist are excellent, and he was always a Caring + Compositionate professional. I would be happy to Supert him in whetever way I GA.

Yours Sincerely. 45. HAWK Shuait Katuraur.

22

ND CHANTARASAK FRCS

78 Harley Street London W1N 1AE Tel: 020 72551308 Fax: 020 84211288 Mobile: 07070 191366 Email: ndc2@hotmail.com

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November 20, 2000

TO WHOM IT MAY CONCERN

I, Mr ND Chantarasak am writing in support of Dr Richard Kaul whom I have known as an anaesthetist for six years. I understand he has been charged with manslaughter and is due for trial on 15th January 2001.

I would like to specifically describe what happened on 5th November 1996, when a patient called Caroline Ford, was scheduled for a breast augmentation operation at the Highgate Private Hospital. This lady was brought to the operating theatre induction room where Dr Kaul anaesthetized her. After having injected her proposed incision line with 1 ml of local anaesthetic she was moved into the operating theatre and placed on the table. As soon as the monitors were reconnected it was noted by Dr Kaul that she had a weak cardiac output, and her ECG tracing was abnormal. Cardiopulmonary resuscitation was commenced and as the operation had not actually commenced the surgery was abandoned. After a penod of time_Mrs_Eord_responded to the resuscitation and she was transferred to the Middlesex Hospital for continuing care and observations. She was discharged three days later with no specific diagnosis.

I can say that Dr Kaul performed his anaesthetic duties as well as can be expected and successfully resuscitated this woman in a calm and professional manner. I do not have any reservations concerning his ability and would be glad to support him.

Yours sincerely

Mr ND Chantarasak FRCS

BRITISH ASSOCIATION OF COSMETIC SURGEONS

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TESTIMONIAL DR RICHARD KAUL

I have known Dr Richard Kaul for about four years during which I have come to respect him both professionally and personally. Despite having had a disadvantaged childhood, losing both his parents at an early age, Richard has managed to achieve a very successful medical career which only serves to show his determination and true character. I strongly emphasise that his achievement in medicine despite all the early difficulties and disadvantages he was forced to endure is second to none.

We have worked together regularly since 1996, with Richard anaesthetising my patients at the Highgate Hospital. He has always shown the utmost concern for patient welfare and been a conscientious and caring doctor.

His theoretical knowledge and technical skills of anaesthesia are in my opinion excellent and I have never had any doubt about his competence. Many of his patients have commented on the confidence he inspires in them before anaesthesia. Indeed I wouldn't hesitate to allow him to administer an anaesthetic to me or any member of my family.

I was most upset to hear of the manslaughter charge against him and still find it difficult to comprehend how the clinician I have regularly worked with could possibly be accused of such an offence.

I was aware of the appeal Richard had lodged against a decision made by the RCA/STA with regards to his specialist accreditation in this country. I was most surprised at their rejection of his appeal, as I have worked extensively with NHS consultants in the past and still do and would in no way consider Richard's skills and knowledge in any way inferior.

Richard has consistently shown the same level of expertise and competence as any NHS consultant and judge the decision made against him as a political rather than a clinical one.

Unfortunately the tragic death of the dental patient has afforded certain parties an opportunity to further villify Richard. It would seem to me that certain individuals at the RCA have very inappropriately turned this unfortunate case into a political platform.

That said I again strongly emphasise my support and confidence in Richard as a competent and caring anaesthetist and will gladly assist him in whatever way I can.

ANDREW SKANDEROWICZ FRCS

2x. 3.00.



Castlefield Clinics

5th Floor, 2 St Jonhs St, Manchester M3 4DB TEL: 0161 832 8700 FAX: 0161 832 0087

Thursday 6th April 2000

Hempsons Solicitors 33 henrietta Street Covent Gardens London WC2E 8NH

Dear Sirs,

DR RICHARD KAUL

I am writing in response to your letter dated 24th March 2000.

I first met Dr Kaul when we worked together at the Highgate Private Hospital about five years ago. In this time I have known Dr Kaul on a personal basis as well as professional.

I have always found Dr Kaul a very capable and competent doctor. I have never had to worry about any problems arising when working with Dr Kaul as I know I can put 100% confidence in him. He cares for all the patients extremely well and always sees the patients before discharging them. On many occasions Dr Kaul has volunteered to go to the hospital the next day to see my patients as I would be unavailable due to work commitments in my Manchester Clinic.

Yours sincerely

Dr.R.K. Agarwal

211.



HEMPSONS SOLICITORS 33 Henrietta St. Covent Garden London WC2E 8NH12

12 April 2000

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Att. Ian Barker

R-v-Dr. Richard Kaul.

To whom it may concern,

I first met Richard Kaul in the early part of 1996. He was introduced to me by a mutual friend, Evan Chand, & I learnt that he was an anaesthetist who had been working in America & had a very good reputation.

Some months later I needed an anaesthetist in my dental practice, & managed to employ Richard for two days per week, even though he was always busy at other places.

During the time he worked with me, & I must say that our G.A. sessions were extremely hectic, Richard was always in absolute control & never let a situation develop which could have been detrimental to the patient. In other words, the anaesthetic part of the day's session was always very smooth & stress free.

I might add that I myself had done dental anaesthetics full-time for many years, & had worked as a dentist with various anaesthetists as well, & I can say categorically that Richard was as good as the best, & better than a lot!

I eventually sold my practice to Richard, & on the few occasions I dropped in to chat, the anaesthetic &/or sedation sessions were very quiet & professional, the layout of the rooms was more efficient & I got the impression that everything was more "hospital-like".

Over the years Richard & I have become good, if infrequent, friends, & I have always regarded him as very, very professional & apparently very caring. I say this because he would often leave my practice at the end of the session to dash off & see to a patient's aftercare in hospital, as he has also done on a few occasions when we've been out together!

I hope my opinions will help to give a more balanced view of Richard's persona, which is always busy, always thinking & always trying to improve his professionalism.(& which can lead to jealous reactions!).

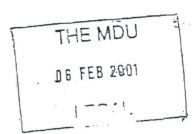
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Yours faithfully,

Peter Crouch(BDS.Syd.)



ROGER A. HAWKEN B.D.S. and Associate Dental Surgeons



20

31" January 2001

Mr Ian S P Barker Solicitor MDU Services Limited 230 Blackfriars Road LONDON SE1 BPB

Your Ref. ISPB/jds/9902700/Legal

Dear Mr Barker

RE: DR RICHARD KAUL

My name is ROGER HAWKEN BDS, Principal Dental Surgeon at 119 Golden Cross Lane, Catshill, Bromsgrove, Worcs.

Until recent legislative changes, my Surgery provided General Anaesthetic and Sedation services for our own patients and patients on referral, enabling a wide range of dental treatment, including oral Surgery to be carried out.

During the period these services were provided, Anaesthetists were supplied by the Poggo Anaesthetic Group Ltd who also supplied, maintained and serviced all Anaesthetic Equipment as well as providing all Anaesthetics/drugs.

From March 1996 to October 1997, Dr Richard Kaul was engaged by the Poggo Group to give General Anaesthetic or Intravenous sedation to patients booked on designated sessions, normally on Saturday, all day, once a month at this Surgery. Dr Kaul attended patients on approximately 12 of these sessions, and was supported by a suitably trained and qualified Recovery Nurse, 2 Dental Surgery Assistants, and an Oral Surgeon.

By personal observation, and on questioning all staff involved in these anaesthetic sessions, I can state that Dr Richard Kaul administered General Anaesthetics and Intravenous Sedation in a highly professional and competent manner. In my opinion his personal attitude to the work carried out was both highly ethical and well motivated regarding patient care. Dr Kaul, also supervised the role of the Recovery Nurse in a professional manner and was always consulted regarding the discharge of a patient after treatment.

I hope these observations are of value.

Yours sincerely

R A HAWKEN

Dental Surgeon 119 Golden Cross Lane, Catshill, Bromsgrove, Worcestershire B61 0LA Telephone: 01527 876003

· Private · NHS · Preventive Dental Care ·

LUIS RAMIREZ-PACHECO, M.D. 719 Cypress Street New Milford, N.J. 07646

Re: Richard Kaul, M.D. (Medical License)

8/13/2014

Dear Members of the New Jersey Medical Board:

I am writing this letter in support of the application of Dr. Richard Kaul to have his NJ medical license re-instated. I am an interventional pain physician and have been licensed for the practice of medicine in P.R, and N.J. since 1976.

I have known Dr. Kaul professionally for over 10 years and have had the opportunity to witness on many occasions his great technical competence in the performance of Interventional Pain Management specific on spinal procedures. His professionalism, focus and the patient rapports are outstanding and like me, there many instances during which other doctors would spend time observing his technique.

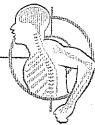
Within the interventional pain community Dr.Kaul has always had the reputation of being a highly skilled and technically competent physician who takes the welfare of his patients very seriously. It would be a great thing for the community if Dr. Kaul were allowed to return to practice.

I would therefore respectfully ask the medical board to consider the re-installation of the N.J. medical license to Dr. Richard Kaul.

Should there be any further information you need please contact me at my address or to my phone (201) 615-8488.

Respectfully Submitted,

Luis Ramirez-Pacheco, M.D.



LESS Institute

Spine and Orthopedics

Kingsley R. Chin, M.D., F.A.A.D.S Orthopedic & Spine Surgeon – HARVARD Educated Board Certified & Fellowship Trained Affiliate Associate Professor Charles E. Schmidt College of Medicine at FAU

KINGSLEY CHIN

EDUCATION Columbia College Columbia Engineering Harvard Medical School (Honors)

RESIDENCY TRAINING Harvard Medical School

UNIVERSITY POSITIONS Affiliate Associate Professor, Charles E. Schmidt School of Medicine FAU

Former Assistant Professor of Orthopaedic Surgery, University of Pennsylvania Medical School

LOCATIONS:

FORT LAUDERDALE 1100 W. Oakland Pk Bivd

Suite 3 Ft Lauderdale, FL 33311

> MIAMI 9570 S.W. 107th Ave Suite 201C Miami, FL 33176

LAKE WORTH 7138 Lake Worth Rd Suite C Lake Worth, FL 33467

ORLANDO 121 W. Underwood Street Orlando, FL 32806 Dear Members of the New Jersey Medical Board

I am writing this letter in support of the application by Dr.Richard Kaul for the reinstatement of his medical license.

I am a board certified orthopedic spine surgeon and have been in practice in an academic setting and also in a private setting with a focus on outpatient less invasive spine surgery.

I have known Dr. Kaul professionally for six years and have had the opportunity to witness him perform both interventional pain and minimally invasive spine surgical procedures with great technical skills and he understood the pathology and treatment of spinal conditions. I saw Dr Kaul demonstrate care and compassion towards his patients and the staff.

In speaking with Dr.Kaul, I concluded that he has learned from this experience and is eager to resume the practice of medicine. Dr Kaul has asked me for his support and so I respectfully ask the members of the New Jersey Medical Board to reinstate his medical license to practice in his specialty of interventional pain as I am confident he will help many patients if allowed to return to practice.

Yours sincerely

R Chin, MD

ANESTHESIOLOGY & PAIN PROFESSIONAL PRACTICE

55 Hardwick Lane, Wayne NJ 07470 Tel 201 894 1313 Fax 201 894 1335

To: New Jersey Medical Board

Re: Richard Kaul, MD

I am board certified Anesthesiologist and Interventional Pain specialist. I have been in private practice in New Jersey and New York for over 20 years.

I am writing this letter to support Dr.Kaul in his application for the reinstatement of his medical license.

I first met Dr.Kaul in 2006 when we were both working at the same surgical center and got to know him both professionally and personally. From 2006 onwards I was able to observe him - both as an anesthesiologist and pain management specialist - performing procedures and surgeries on the spine.

He is very skilled and competent physician that truly cares about the welfare of his patients. His technical abilities are among the best in the field of interventional pain practice. His medical and clinical knowledge is superior. His judgment is sound. His clinical skills are superior.

I also observed his interactions with the nursing and administrative staff and found him to be very professional. His communication and interpersonal skills including ability to work with others, relationship with patients and their families, relationship with healthcare team and clarity and legibility of records are superior. His professionalism including responsiveness and accountability to patients and profession, adherence to ethical principles, efficiency in utilization of resources are superior.

Dr. Kaul is a respected physician within the interventional pain community as a practicing physician. Dr Kaul has been at the forefront of interventional spine specialty and has been instructor to other physicians in this field.

I would therefore fully and without reservation support Dr Kaul in his efforts to have the license to practice medicine fully reinstated.

(Should there be any further information you require please contact me directly at my cell number 646 431 8560).

Respectfully submitted,

Dariusz Nasiek, M.D. Board Certified by American Board of Anesthesiology Board Certified by American Board of Pain Medicine

Board Certified by American Board of Interventional Pain Physician

PAIN RELIEF CENTER, P.A.

Felix E. Roque, M.D. * Interventional Pain Management Center 211 60th Street - West New York, NJ 07093 Tel (201) 662-5437 Fax (201) 662-7195

September 3rd, 2014

Law Office of Dughi, Hewit & Domalewski Atn: Mr. Michael J. Keating 340 North Ave Cranford, NJ 07016

Dear Mr. Keating,

I am an interventional pain specialist and have been in practice for almost 25 years with an entire focus on the diagnosis and treatment of painful spinal conditions through the use of fluroscopically guided injections and procedures. My education and training has included medical school with post graduate training in anesthesiology and a subsequent fellowship in interventional pain management.

As stated earlier I have been in practice for almost 25 years and during this period I have performed over 10,000 procedures which have included the entire range of pain management interventions in the cervical, thoracic and lumbar spine. My practice also includes the performance of disco grams, dorsal column stimulation and intrathecal pump device insertion. I have within the last 5 years included lumbar endoscopic decompression techniques for the treatment of painful herniated discs.

I am currently the Director of the Pain Department at St.Mary's Hospital in Passaic and am responsible for the credentialling of physicians and quality control measures in the department. I also practice at several outpatient surgical centers where I regularly perform about forty procedures a week.

I have known Dr.Kaul professionally since 2008 and have witnessed him operating on patients on multiple occasions. Within the field of interventional pain and minimally invasive spine surgery the use of fluoroscopic guidance and interpretation is critical to the safe and effective performance of the spinal procedures and during my many observations of Dr.Kaul operating I observed his great skill at the safe fluroscopically guided placement of needles, probes and other instruments into the spine. I never witnessed or was aware of any complications during the times I observed him working. I was able to gather this

PAIN RELIEF CENTER, P.A.

Felix E. Roque, M.D. * Interventional Pain Management Center 211 60th Street - West New York, NJ 07093 Tel (201) 662-5437 Fax (201) 662-7195

information by spending time in the OR with Dr.Kaul and directly observe his technique and in my discussions with him about treatment protocols it became clear that he possessed a thorough understanding of spinal disease and the appropriate treatments.

Dr.Kaul is a caring and compassionate physician and I have observed his empathetic interactions with his patients both before and after their procedures. If Dr.Kaul has his medical license reinstated he will work under my direct supervision and all clinical decisions and patient care treatment will be discussed and authorized by myself before Dr.Kaul is permitted to treat the patient. His work will be directly observed and regularly audited for outcomes and any complications .He will work directly with me consulting patients at my two offices in West New York and Clifton and performing interventional pain procedures at the Endo Surgical Center in Clifton. The spectrum of patients treated would include principally people with degenerated painful spinal conditions in the cervical, thoracic and lumbar regions and his scope of practice would be strictly limited to interventional pain management.

Dr.Kaul will work with administrative staff in the office setting when consulting patients and will work with anesthesiologists, nurses and surgical technicians when performing procedures in the OR.

I am willing to supervise all aspects of Dr.Kaul's clinical performance for a fixed period of time and will submit periodic reports to the medical board detailing his progress.

Sincerely

FELIX E. ROQUE, MD Director Pain Management Center St. Mary's Hospital Passaic, NJ

<u>From</u>: June 30, 2021 David B Basch, MD Spine and Orthopedic Center 90 S Sparta Avenue, Sparta, NJ 07871

Attention: The State Education Department The University of the State of New York One Gateway Plaza Port Chester, NY 10573 55 So. Main St. 3rd Floor

Re: Application of Richard Arjun Kaul for physician license in New York NYS File No. 4102492

Dear Sir/Madam,

I write this letter in support of Dr. Kaul's application for a physician license in the State of New York, and specifically in response to point three of the June 22, 2021 letter sent to Dr. Kaul from the State Education Department (copy enclosed).

I am a physician licensed in the State of New Jersey and have practiced medicine since 1995. I am a board certified/fellowship trained orthopedic spine surgeon. I first met Dr. Kaul in 2003 and from this time I have come to know him both professionally and personally. I can unreservedly state that he is man of great moral character, integrity, wisdom and compassion, in addition to being an excellent physician, and I wholeheartedly support his application for licensure.

I understand that an element of this letter requires me to describe the circumstances in which I came to know about a case from 1999 in the UK, which involved Dr. Kaul.

I first met Dr. Kaul in 2002, when he joined the pain management group at St. Clare's Hospital in Denville, New Jersey. Prior to gaining clinical privileges, he was interviewed by the credentials committee, during which he discussed the events surrounding the UK case. I know this because it became common knowledge in the hospital and was openly discussed by numerous individuals. In approximately September 2002, I was introduced to Dr. Kaul by the head of the anesthesia group, and shortly thereafter we met for diner.

At this diner, Dr. Kaul explained the political backdrop of the case in the UK and told me about his challenge in February 1999 to the Royal College of Anesthetists and the British Government to have his American training recognized in the UK. He explained that the case was a "landmark" legal challenge that had the potential to change the British National Health Service, a change that he stated would force the British Government to increase physician's salaries.

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The challenge was politically contentious, and gained a substantial amount of press coverage, that was not favorable to the National Health Service. Dr. Kaul lost the appeal, but while he was planning to return to the US, he was involved in a case that resulted in his being charged in October 1999 with what is referred in the UK as 'medical manslaughter'.

Dr. Kaul has been forthright and honest about the case in the UK, and not just with me, but with every facility at which he has worked since February 2002. I am aware, as it has been widely reported and Dr. Kaul himself has told, that prior to his return to the US in September 2001, he did not disclose the UK case in his applications for licensure and other certifications and privileges. I also understand that these omissions were based on the fact that the question regarding criminal convictions pertained specifically to American state or federal jurisdictions, and that this was how he answered the question.

Since that point in time, he was licensed in New Jersey, obtained clinical privileges at multiple surgical centers and hospitals, was credentialed by Medicare to perform minimally invasive spine surgery, and did disclose the UK case to the federal government. In addition, he was also granted permanent resident status in the US and did disclose the UK case to the US Government.

It is my pleasure to provide this letter, but I must admit I am little perplexed as to why, after twenty years, this case has any relevance to any aspect of Dr. Kaul's career. He and his professional history are public, and a basic internet search of his name reveals forensic detail of his life. I can honestly say, I don't' know another physician who is as brutally honest about his life as Dr. Kaul. This, I believe, talks to his moral character and courage, and he is a man that I, and many others, are proud to know.

Yours sincerely

David Basch, MD Email: <u>dbbortho@yahoo.com</u>

201 396 0346



Victor Katz, MD FAAOS

Diplomate of the American Board of Orthopaedic Surgeons Orthopedic Spine & Sports Surgery

> 153 Jefferson Ave Mineola, NY 11501 516-775-0272 * 516-216-1972

July 01, 2021 Victor Katz, MD 153 Jefferson Ave Mineola, NY 11501

The State Education Department The University of the State of New York One Gateway Plaza Port Chester, NY 10573 55 So. Main Street. 3RD Floor

Re: Application of Richard Arjun Kaul for physician license in New York. NYS file No. 4102492

To Whom It May Concern:

I write this letter to attest to the moral character of Dr. Kaul, a physician that I have known both professionally and personally since 2003, and an individual whom I know to be a man of principle, integrity and honesty. With regard to the case that occurred in the UK in 1999, Dr. Kaul has, from almost the first time we met, been forthright about the details of what happened. I have witnessed his frankness about this twenty-year old case with many others, and know that he has published many articles, books and legal documents pertaining to the matter.

I have reviewed the affidavit submitted by David Detoffol, Esq (copy enclosed) and do concur with Mr. Detoffol's statements.

I unreservedly support Dr. Kaul's application for licensure.

Regards,

Victor Katz, MD Board Certified Orthopedic Surgeon

DETOFFOL & GITTLEMAN

ATTORNEYS AT LAW

125 Maiden Lane - Suite 5C New York, New York 10038 www.ddtlaw.com

telephone (212) 962 - 2220 facsimile (212) 962 - 2221

Delivered Via Email to: Megan.Lawrence@nysed.gov

May 7, 2021

Steven Boese, Executive Director c/o Office of the Professions N.Y. State Education Dept. Riverview Center 150 Broadway, Suite 355 Albany, N.Y. 12204

re: Richard Kaul Application for Medical Physician Licensure

Dear Members,

I have been asked by Dr. Kaul to submit an affidavit to the Office of the Professions N.Y. State Education Department in support of his application for medical licensure in the State of New York, and specifically regarding his moral character.

About me, I have practiced law in New York since 1995, after having graduated from St. John's Law School, and specialize in intellectual property law, medical negligence and personal injury law. I am admitted into (list the courts).

I first met Dr. Kaul in 2005 and have come to know him both personally and professionally. We were neighbors in Manhattan from 2005 to 2012, after which he relocated to New Jersey. Since then, we have remained in regular contact.

Integrity:

Dr. Kaul is a man of great morality, intelligence, integrity, wisdom and humility. My experiences have proven him to be a determined person, who has pursued his Page 2 of 6 To: OP NYS ED Date: May 7, 2021

life goals with a quiet perseverance and has repeatedly overcome seemingly insurmountable obstacles. Dr. Kaul's professional and personal achievements are many, but the most outstanding and enduring ones are his seemingly innumerable acts of kindness in caring and helping those less fortunate than himself. I believe that his inspirational element of his character would come from his own personal childhood experiences of loss, and his genuine appreciation and respect for well founded institutional principals, instilled in him by those that saw his potential and invested in his early development in England, namely Catholic eleemosynary orphanages and Jesuit schools that honed his personal fortitude and critical thinking and self reflection. Presumably evident in record, as well as one can garner from any topic of conversation with him, is that he had, and continues to excel in academics and athletics. These achievements, in the face of incredible odds, talk to the strength of his moral character. Having lived alone starting at the early age of sixteen, he demonstrated then an incredible discipline that does not fade to this day, to not engage in delinquent or anti-social behavior. Instead, he focused on achieving educational and professional excellence. This is who Dr. Kaul is. I know him very well, and he is not an individual that some would have you believe.

Highly Competent:

Dr. Kaul is a highly competent and ethical physician. I know this because since 2005, I have referred him several of my injured clients, and all, without fail, have expressed great satisfaction with the care and consultation he provided them. From the perspective of my interactions, I observed him to conduct his practice of medicine with diligence, professionalism and care and this was the opinion of numerous lawyers and physicians, whom I knew and who had worked with Dr. Kaul. His contributions to the field of spine medical treatment earn the high regard from his disclosures for invention contributions in 2005, for a procedure that permits spinal fusions to be performed in a same-day outpatient facility. It is my understanding that this percutaneous technique is now a standard if care. I have witnessed Dr. Kaul's expertise in multiple videos of him performing these procedures with apparent success.

Page 3 of 6 To: OP NYS ED Date: May 7, 2021

Honorable Gentleman:

My view is that the patient public lost service from a talented physician when Dr. Kaul's New Jersey medical license was suspended in June 2012, and then revoked in March 2014. It was also a loss to many impoverished people in Africa, whom Dr. Kaul had been helping since 2008, when he established the Spine Africa Project with his own money, time and resources, and plans to expand the charity. The revocation caused him to fall into a state of poverty, and he was therefore, not able to sustain the work of the charity.

Facing the adversity of having his professional life swept from him, I witnessed Dr. Kaul's admirable character sustain. He did not give up despite losing everything, including his pursuit of happiness, his reputation and freedom. The harsh circumstances caused him to become estranged from his young son and daughter. He was arrested and jailed for non-payment of child support, and was made homeless on several occasions, one of which, in 2018, I was able to provide him shelter for four months.

In this period too, I admired his tenacity, focus and determination to find justice for himself and his children. In one of our conversations, he told me that the reason he remained in the U.S. after his license was revoked, was to fight for the truth as to why his license was really revoked. He wanted to leave his children with a legacy of which they would be proud. He remained steadfast to his word doing this, as just another example of his measured stable character. While living in a state of abject poverty, in which oftentimes he had no money for food, he taught himself the law, and then on February 22, 2016, he initiated legal action in the United States District Court against those who by his estimates and allegations conspired against him with the sequelae to have impact his erstwhile licensed status, and sadly too his own personal life.

Dr. Kaul is a righteous and principled individual, who since being divested from his medial license has sacrificed a lot in his quest for justice. He did not walk away, and he told me that in 2015, he was approached by certain individuals in New Jersey who attempted to extort him for money in return for having his license reinstated. He rejected that act, despite his desperate circumstances. This is the Page 4 of 6 To: OP NYS ED Date: May 7, 2021

conduct of an honorable man, one which the State of New York should be proud to have provide medical care within its borders. What happened to Dr. Kaul is may well be proven a travesty of justice, and from 2016 to the present he has remained within the law and used it in his favor to fight for that eventual justice. This talks to his character, determination and a moral fortitude that one rarely finds today.

Generosity:

I have seen and know many examples of Dr. Kaul's good moral character, generosity of time, money and spirit as his next-door neighbor for seven years, where he himself opened his door rent free to friends going through their own domestic disputes. During one of our conversations, he told me that his compassion for those encountering misfortune, came from his own experience from those who helped him during his earlier hardships. In one story, he described how, when he was a teenager he had no money to purchase bus or train tickets, and each time someone showed him generosity, he promised himself, that although he could not repay that particular person for that particular act, he would carry forward that spirit of generosity and help others when he had the money and resources. Dr. Kaul remained true to his promise and that is typical of his moral character. Time and time again his word has been his bond and so I am confident to regard him, to be his word.

Evidence of Legally Good Moral Character:

Having interacted with Dr. Kaul at professional and personal levels of affair, I came to know the accounts of his professional history in the UK and US. Regarding any residuum of his case in the UK, it is my understanding that Dr. Kaul, after a six-month suspension in 2003, had his license to practice medicine and surgery reactivated. Since 2001, the UK case has been examined, re-examined and legally dissected by American judges, lawyers, politicians and physicians and the New Jersey Medical Board, and has been found to have no legal weight in American jurisprudence. In fact, a hearing examiner in Pennsylvania, issued a decision on May 28, 2020 that likened the case to a medical malpractice action in

Page 5 of 6 To: OP NYS ED Date: May 7, 2021

the US. Noteworthy to this point, the Pennsylvania hearing examiner did not find lack to good moral character.

I believe that the New Jersey Board of Medical Examiners too concluded in 2003 that the UK 'conviction' provided no basis for discipline. And in approximately 2007, Dr. Kaul was granted permanent residence status in the U.S. where I was told that Dr. Kaul's lawyer submitted legal briefs that argued the UK case was not a 'crime' of moral turpitude, and became the same position agreed and adopted by the U.S. Government. It is my understanding that the legal standards used by the Immigration and Naturalization Service would mirror those applied by the states in their evaluation of professional law and medical licenses.

From 2001, Dr. Kaul paid his taxes and from 2003 to 2012, he generously supported his children and ex-wife. As I witnessed too, he is a responsible man, who maintained a cordial relationship with his ex-wife after a bitter divorce, in order to provide his children with a harmonious environment. From 2005 to 2012, his children would stay with him every other weekend, and I was able to see him as an amazing father. His children loved spending time with him, and on several occasions, when he invited friends with children to have lunch with him and his children, I would 'stop-by', and all I remember was a house full of joy.

Other Legal Standards of Good Moral Character:

As a lawyer, I am familiar with the legal standards regarding moral character evaluation to become licensed in law, as well as similar and many more standards for review applied in the courts so as to arrive upon a well-founded judgment.

Although draconian doles of administration have burdened Dr. Kaul since 2012, he remained determined to reach a place of justice for himself, to once again provide for his children and masses of patients in need, including those erstwhile his own whom apparently continue to support him and await for his return.

During the last decade though, the events that officially began on April 2, 2012 caused his time with his children to become notably constricted. In 2015, he became subject to arrest warrant for unpaid child support, was arrested and jailed

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in September 2016, and was prevented from seeing or even talking to his children from 2015 to 2018, when he was eventually able to have his ex-wife lift the warrant.

All the while and despite having suffered burdensome effect and unjust turn in life circumstances from bureaucratic edict, I have never once witnessed him carp or wish destructive harm to anyone. Instead he shouldered the yoke on a proper pathway to restore his lifelong vocation here now before you. He is a deeply philosophical person, who along the way remained consistent in character to his earlier life's great personal and professional achievements - who when confronted with challenges that would have destroyed most people, on each occasion has fairly overcome the obstacle, as is the mark and type of professional individual that society needs more.

I state with certainty that the moral character of Dr. Kaul meets and exceeds all relevant standards of moral fitness, and as such, an asset to the medical profession in the State of New York and its people, and on which I indeed support his application for State of New York medical licensure.

David DeToffol, Esq.

S.S.: N.Y. County:

On this date and before me, the above individual known to me, signed this statement acknowledging its contents and truthfulness as stated therein.

NOTARY

Dated:

JOSHUA GITTLEMAN NOTARY PUBLIC-STATE OF NEW YORK No. 02G16392290 Qualified in New York County My Commission Expires 05-28-2023 From: July 13,2021 Arvinder Dhillon M.D. Farmbrook Interventional Pain and EMG 29877 Telegraph Road, Suite 401 Southfield, MI 48034

<u>Attention</u> The State Education Department The University of the State of New York One Gateway Plaza Port Chester, NY 10573 55 So. Main St. 3rd Floor

Re: Application of Richard Arjun Kaul for physician license in New York NYS File No. 4102492

Dear Sir/Madam,

I have been asked by Dr. Kaul to write a letter in support of his application for a physician license in the State of New York. He forwarded me a copy of the letter of June 22, 2021 in which he is requested, amongst other things, to obtain three letters of reference from persons with whom he has had professional relationships. I understand these letters must confirm his disclosure of a conviction that occurred in the UK in 2001.

I am a physician licensed to practice medicine and surgery in Michigan, having graduated from medical school in June of 2003.

I do attest that I have known Dr. Kaul professionally since 2008 and know him to be a person of immense integrity, principle and honesty. Through my professional relationship with him, I have witnessed evidence of his impeccable moral character. He is a man of his word, an excellent physician and a courageous human, who made his professional history a matter of public record. After completing my Fellowship in 2008, I worked for Dr. Kaul, and it was during this time that he told me about the UK case. Over the years, I have witnessed his openness about this case with many other professionals and patients.

I unreservedly support Dr. Kaul's application for licensure in New York.

Yours sincerely,

Arvinder Dhillon M.D.

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ATTORNEYS AT LAW

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telephone (212) 962 - 2220 facsimile (212) 962 - 2221

Delivered Via Email to: Megan.Lawrence@nysed.gov

May 7, 2021

Steven Boese, Executive Director c/o Office of the Professions N.Y. State Education Dept. Riverview Center 150 Broadway, Suite 355 Albany, N.Y. 12204

re: Richard Kaul Application for Medical Physician Licensure

Dear Members,

I have been asked by Dr. Kaul to submit an affidavit to the Office of the Professions N.Y. State Education Department in support of his application for medical licensure in the State of New York, and specifically regarding his moral character.

About me, I have practiced law in New York since 1995, after having graduated from St. John's Law School, and specialize in intellectual property law, medical negligence and personal injury law. I am admitted into (list the courts).

I first met Dr. Kaul in 2005 and have come to know him both personally and professionally. We were neighbors in Manhattan from 2005 to 2012, after which he relocated to New Jersey. Since then, we have remained in regular contact.

Integrity:

Dr. Kaul is a man of great morality, intelligence, integrity, wisdom and humility. My experiences have proven him to be a determined person, who has pursued his

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life goals with a quiet perseverance and has repeatedly overcome seemingly insurmountable obstacles. Dr. Kaul's professional and personal achievements are many, but the most outstanding and enduring ones are his seemingly innumerable acts of kindness in caring and helping those less fortunate than himself. I believe that his inspirational element of his character would come from his own personal childhood experiences of loss, and his genuine appreciation and respect for well founded institutional principals, instilled in him by those that saw his potential and invested in his early development in England, namely Catholic eleemosynary orphanages and Jesuit schools that honed his personal fortitude and critical thinking and self reflection. Presumably evident in record, as well as one can garner from any topic of conversation with him, is that he had, and continues to excel in academics and athletics. These achievements, in the face of incredible odds, talk to the strength of his moral character. Having lived alone starting at the early age of sixteen, he demonstrated then an incredible discipline that does not fade to this day, to not engage in delinquent or anti-social behavior. Instead, he focused on achieving educational and professional excellence. This is who Dr. Kaul is. I know him very well, and he is not an individual that some would have you believe.

Highly Competent:

Dr. Kaul is a highly competent and ethical physician. I know this because since 2005, I have referred him several of my injured clients, and all, without fail, have expressed great satisfaction with the care and consultation he provided them. From the perspective of my interactions, I observed him to conduct his practice of medicine with diligence, professionalism and care and this was the opinion of numerous lawyers and physicians, whom I knew and who had worked with Dr. Kaul. His contributions to the field of spine medical treatment earn the high regard from his disclosures for invention contributions in 2005, for a procedure that permits spinal fusions to be performed in a same-day outpatient facility. It is my understanding that this percutaneous technique is now a standard if care. I have witnessed Dr. Kaul's expertise in multiple videos of him performing these procedures with apparent success.

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Honorable Gentleman:

My view is that the patient public lost service from a talented physician when Dr. Kaul's New Jersey medical license was suspended in June 2012, and then revoked in March 2014. It was also a loss to many impoverished people in Africa, whom Dr. Kaul had been helping since 2008, when he established the Spine Africa Project with his own money, time and resources, and plans to expand the charity. The revocation caused him to fall into a state of poverty, and he was therefore, not able to sustain the work of the charity.

Facing the adversity of having his professional life swept from him, I witnessed Dr. Kaul's admirable character sustain. He did not give up despite losing everything, including his pursuit of happiness, his reputation and freedom. The harsh circumstances caused him to become estranged from his young son and daughter. He was arrested and jailed for non-payment of child support, and was made homeless on several occasions, one of which, in 2018, I was able to provide him shelter for four months.

In this period too, I admired his tenacity, focus and determination to find justice for himself and his children. In one of our conversations, he told me that the reason he remained in the U.S. after his license was revoked, was to fight for the truth as to why his license was really revoked. He wanted to leave his children with a legacy of which they would be proud. He remained steadfast to his word doing this, as just another example of his measured stable character. While living in a state of abject poverty, in which oftentimes he had no money for food, he taught himself the law, and then on February 22, 2016, he initiated legal action in the United States District Court against those who by his estimates and allegations conspired against him with the sequelae to have impact his erstwhile licensed status, and sadly too his own personal life.

Dr. Kaul is a righteous and principled individual, who since being divested from his medial license has sacrificed a lot in his quest for justice. He did not walk away, and he told me that in 2015, he was approached by certain individuals in New Jersey who attempted to extort him for money in return for having his license reinstated. He rejected that act, despite his desperate circumstances. This is the

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conduct of an honorable man, one which the State of New York should be proud to have provide medical care within its borders. What happened to Dr. Kaul is may well be proven a travesty of justice, and from 2016 to the present he has remained within the law and used it in his favor to fight for that eventual justice. This talks to his character, determination and a moral fortitude that one rarely finds today.

Generosity:

I have seen and know many examples of Dr. Kaul's good moral character, generosity of time, money and spirit as his next-door neighbor for seven years, where he himself opened his door rent free to friends going through their own domestic disputes. During one of our conversations, he told me that his compassion for those encountering misfortune, came from his own experience from those who helped him during his earlier hardships. In one story, he described how, when he was a teenager he had no money to purchase bus or train tickets, and each time someone showed him generosity, he promised himself, that although he could not repay that particular person for that particular act, he would carry forward that spirit of generosity and help others when he had the money and resources. Dr. Kaul remained true to his promise and that is typical of his moral character. Time and time again his word has been his bond and so I am confident to regard him, to be his word.

Evidence of Legally Good Moral Character:

Having interacted with Dr. Kaul at professional and personal levels of affair, I came to know the accounts of his professional history in the UK and US. Regarding any residuum of his case in the UK, it is my understanding that Dr. Kaul, after a six-month suspension in 2003, had his license to practice medicine and surgery reactivated. Since 2001, the UK case has been examined, re-examined and legally dissected by American judges, lawyers, politicians and physicians and the New Jersey Medical Board, and has been found to have no legal weight in American jurisprudence. In fact, a hearing examiner in Pennsylvania, issued a decision on May 28, 2020 that likened the case to a medical malpractice action in

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the US. Noteworthy to this point, the Pennsylvania hearing examiner did not find lack to good moral character.

I believe that the New Jersey Board of Medical Examiners too concluded in 2003 that the UK 'conviction' provided no basis for discipline. And in approximately 2007, Dr. Kaul was granted permanent residence status in the U.S. where I was told that Dr. Kaul's lawyer submitted legal briefs that argued the UK case was not a 'crime' of moral turpitude, and became the same position agreed and adopted by the U.S. Government. It is my understanding that the legal standards used by the Immigration and Naturalization Service would mirror those applied by the states in their evaluation of professional law and medical licenses.

From 2001, Dr. Kaul paid his taxes and from 2003 to 2012, he generously supported his children and ex-wife. As I witnessed too, he is a responsible man, who maintained a cordial relationship with his ex-wife after a bitter divorce, in order to provide his children with a harmonious environment. From 2005 to 2012, his children would stay with him every other weekend, and I was able to see him as an amazing father. His children loved spending time with him, and on several occasions, when he invited friends with children to have lunch with him and his children, I would 'stop-by', and all I remember was a house full of joy.

Other Legal Standards of Good Moral Character:

As a lawyer, I am familiar with the legal standards regarding moral character evaluation to become licensed in law, as well as similar and many more standards for review applied in the courts so as to arrive upon a well-founded judgment.

Although draconian doles of administration have burdened Dr. Kaul since 2012, he remained determined to reach a place of justice for himself, to once again provide for his children and masses of patients in need, including those erstwhile his own whom apparently continue to support him and await for his return.

During the last decade though, the events that officially began on April 2, 2012 caused his time with his children to become notably constricted. In 2015, he became subject to arrest warrant for unpaid child support, was arrested and jailed

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Dated: 5/7/2

JOSHUA GITTLEMAN NOTARY PUBLIC-STATE OF NEW YORF No. 02GI6392290 Qualified in New York County My Commission Expires 05-28-2025